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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Notification of the commencement of an activity relevant to due diligence pursuant to Article 3(3) SPG** | | | | | | | | |
|  | Fill in shaded areas | | | | | | | |
|  | Tick the appropriate box | | | | | | | |
|  | | | | | | | | |
| **Notifying person/Person subject to due diligence:**  Notification in relation to natural person  legal person | | | | | | | | |
| Company: | |  | | | | | | |
| Surname: | |  | | | | | | |
| First name: | |  | | | | | | |
| Street: | |  | | | | | Number: |  |
| PO Box: | |  | | | | | | |
| Postal code: | |  | Place: |  | | | | |
| Phone: | |  | | | Fax: |  | | |
| Email: | |  | | | | | | |
| Website: | |  | | | | | | |
|  | | | | | | | | |  |
| **Activity relevant to due diligence:** | | | | | | | | |
| Exchange bureaux as referred to in Article 3(1)(f) SPG | | | | | | | | |
| Service providers for legal entities[[1]](#footnote-1) that provide services as referred to in Article 3(1)(k) SPG (as notifying persons)  Performance of the management or executive function of a company, the function of partner in a partnership or a comparable function in another legal person or appointment of another person for the aforementioned functions (point 2)  Provision of a head office, a business, postal or administrative address and other related services for a legal entity (point 3)  Performance of the function of a member of a foundation board of a foundation or a similar legal entity or appointment of another person for the aforementioned functions (point 4)  Performance of the function of nominee shareholder for another person, where the company concerned is not listed on a regulated market and subject to the disclosure requirements in conformity with EEA law or similar international standards, or appointment of another person for the aforementioned functions (point 5)  The above-mentioned services are provided by a notifier according to Art. 3 (3) (b) SPG (lawyers and law firms with an authorisation under the Lawyers Act as well as legal agents as referred to in Art. 108 of the Lawyers Act who provide services under (1) (k) SPG). | | | | | | | | |
| Members of tax consultancy professions as referred to in Article 3(1)(n) in conjunction with Article 2(1)(w)[[2]](#footnote-2) | | | | | | | | |
| External bookkeepers as referred to in Article 3(1)(n) in conjunction with Article 2(1)(x) SPG[[3]](#footnote-3) | | | | | | | | |
| Real estate agents as referred to in Article 3(1)(p) SPG | | | | | | | | |
| Persons trading in goods as referred to in Article 3(1)(q) SPG | | | | | | | | |
| Operators of trading platforms for non-fungible tokens as referred to in Article 3(1)(t) SPG | | | | | | | | |
| Persons trading in works of art or acting as intermediaries in the trade of works of art as referred to in Art. 3(1)(u) SPG  trading and/or  acting as intermediaries | | | | | | | | |
| Persons who, on a professional basis, hold foreign assets in safe custody and rent out premises and containers for the storage of valuables as referred to in Art. 3(1)(v) SPG  custody services and/or  renting services | | | | | | | | |

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| **Comments:** |
|  |
| **Place, date, and signature of notifying person/person subject to due diligence:**  (Signature of an authorised signatory) |
|  |

Anti-Money Laundering and DNFBP Division

Updated: February 2025

1. including lawyers and law firms with an authorization under the Lawyers Act, as well as legal agents as referred to in Art. 108 of the Lawyers Act who provide services in accordance with Art. 3(1)(k) SPG (see also Art. 3(3)(b) SPG) [↑](#footnote-ref-1)
2. Excluding trustees and trust companies with a full authorisation under Article 3(1)(b) of the Trustee Act (TrHG) [↑](#footnote-ref-2)
3. Excluding trustees and trust companies with a full authorisation under Article 3(1)(b) of the Trustee Act (TrHG) [↑](#footnote-ref-3)