**Model for the communication by the competent authorities of a management company’s home Member State to the competent authorities of the management company’s host Member State under Article 17(2) letter (d) of Directive 2009/65/EC**

**NOTIFICATION LETTER**

NOTIFICATION OF PERSONS RESPONSIBLE FOR A BRANCH [([[1]](#footnote-1))](https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=OJ:L_202400910#ntr1-L_202400910EN.002401-E0001) RELATED TO THE INTENTION OF A MANAGEMENT COMPANY TO ESTABLISH A BRANCH IN A MEMBER STATE OTHER THAN ITS HOME MEMBER STATE IN ACCORDANCE WITH ARTICLE 17, PARAGRAPH (2), LETTER (D) OF DIRECTIVE 2009/65/EC.

IN       (*the host Member State*)

**Identification of the management Company**

|  |  |
| --- | --- |
| Management company |       |
| Management company LEI |       |
| National identification code of the management company (where available) |       |
| Management company’s home Member State |       |
| Address and, where different from address, registered office/domicile |       |

**Identification of the management Company**

|  |  |
| --- | --- |
| Name of the branch |       |
| National identification code of the branch in the management company’s home Member State (where available) |       |
| National identification code of the branch in the Member State where the branch is established (where available) |       |
| Address and, where different from address, registered office/domicile |       |

Are you notifying amendments to information already provided in an initial notification? Yes [ ]  No [ ]

*In case the answer to this question is ‘Yes’, please highlight below the updated information compared to the previous notification and indicate the date of the previous notification:*

**NOTIFICATION OF A PERSON RESPONSIBLE FOR:**

|  |  |
| --- | --- |
| [ ]  | The management of the branch |

|  |  |
| --- | --- |
| [ ]  | The termination of the operations of the branch [([[2]](#footnote-2))](https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=OJ:L_202400910#ntr2-L_202400910EN.002401-E0002) |

**Identification of notified person**

|  |  |
| --- | --- |
| Surname |       |
| First name |       |
| Position or function performed |       |
| Start date for this position |       |
| End date for this position (where relevant) |       |
| Telephone number |       |
| Email address |       |

**Additional remarks**

|  |  |
| --- | --- |
| Additional remarks, where indicated (e.g. former name in case of name changes, position changes, etc.) |       |

|  |  |
| --- | --- |
| Date |       |
| Name and capacity of the signatory |       |
| Signature |       |

1. Please submit one separate form for each relevant person and one form per electronic file only. [↑](#footnote-ref-1)
2. Notification of a person responsible for the termination of the branch should only be filed as an update where the termination of the branch is envisaged. [↑](#footnote-ref-2)